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Zebra/Alston & Bird 101 S. Tryon Street Suite 4000 Charlotte, NC 28280-4000			YANG, JAMES J	
			ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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Office Action Summary	Application No. 10/597,728	Applicant(s) TURNER ET AL.	
	Examiner JAMES YANG	Art Unit 2612	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 08/04/2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-27,29-33 and 35-45 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-27,29-33 and 35-45 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 08/04/2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>08/04/2006</u> | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Specification

The disclosure is objected to because of the following informalities: On the bottom of Page 24, "counter 24" should be changed to --counter 20--, since element 24 is a trigger pulse.

Appropriate correction is required.

Claim Objections

Claim 42 objected to because of the following informalities: Claim 42 appears to be dependent on claim 32, however, the dependency has been crossed out. For purposes of examination, claim 42 will depend on claim 32. Appropriate correction is required.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 7, 19-20, 22, 24-26, 29, and 41 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 19-20, 22, 24-26, and 29 recite the limitation "A transponder as claimed in claim 14". There is insufficient antecedent basis for this limitation in the claim since

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claim 14 is drawn to the method of claim 1. For purposes of examination, claims 19-20, 22, and 29-31 will depend on claim 17, since the limitations of claims 19-20 are similar to claims 3-4, limitations of claim 22 are similar to claim 6, and the limitations of claims 29-31 are similar to claims 11-13, respectively (and also claim 17 is similar to claim 1).

Claims 7, 23, and 41 recite the limitation "the different Round Sizes available" in Line 3. There is insufficient antecedent basis for this limitation in the claim. It is unclear if "different Round Sizes available" refers to the minimum Round Size, maximum Round Size, or a combination thereof.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

1. Claims 1-6, 8-15, 17-22, 24-27, 29-33, 35-40, and 42-44 are rejected under 35 U.S.C. 102(b) as being anticipated by Van Eeden (U.S. 6,154,136).

Claim 1, Van Eeden teaches:

A method of identifying a plurality of transponders in an interrogation process (Van Eeden, Col. 3, Lines 53-65) **comprising:**
transmitting an interrogation signal to the transponders (Van Eeden, Col. 3, Lines 53-54);

receiving a response signal from each transponder (Van Eeden, Col. 3, Lines 61-65) **at a time within a respective waiting period** (Van Eeden, Col. 4, Lines 16-19, The random inter-transmission intervals are the waiting periods, because the transponder must wait for each random inter-transmission interval to expire before re-transmitting (see Van Eeden, Col. 4, Lines 33-39).) **the maximum duration of which can be adjusted** (Van Eeden, Col. 4, Lines 40-49);

creating a plurality of data line signals together defining a random number (Van Eeden, Fig. 2, Col. 4, Lines 21-39, The random inter-transmission interval generation means 34 includes multiple components connected by a circuit, or data lines, that create and define a random inter-transmission interval, which is a random number.);

feeding said data line signals to a counter for determining the waiting period (Van Eeden, Col. 4, Lines 30-39, The comparator determines when a counter value equals a random number in order to determine whether a signal burst is enabled or whether the transponder should continue to wait. Therefore, the comparator may be interpreted as a counter, since the comparator performs the same function.); **and**

providing *at least one of* the data lines with logic circuitry whereby control of the logic circuitry can block or permit the data line signal to the counter (Van Eeden, Col. 4, Lines 21-39, The random inter-transmission interval generation means is logic circuitry that permits data to be sent to the comparator.) **thereby adjusting the total number of data line signals reaching the input of the counter to control the maximum length of the waiting period** (Van Eeden, Col. 4, Lines 50-67, The

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maximum value of N_{\max} is determined by a combination of signals from counter 44, counter 66, and the number of bits in the random number.).

Claim 2, Van Eeden teaches:

A method of identifying a plurality of transponders in an interrogation process (Van Eeden, Col. 3, Lines 53-65) **comprising:**

transmitting an interrogation signal to the transponders (Van Eeden, Col. 3, Lines 53-54);

receiving a response signal from each transponder (Van Eeden, Col. 3, Lines 61-65) **during a respective waiting period** (Van Eeden, Col. 4, Lines 16-19, The random inter-transmission intervals are the waiting periods, because the transponder must wait for each random inter-transmission interval to expire before re-transmitting (see Van Eeden, Col. 4, Lines 33-39).) **the maximum duration of which can be adjusted** (Van Eeden, Col. 4, Lines 40-49), **the transponder having a random number generator** (Van Eeden, Fig. 2: 36, Col. 4, Lines 21-24) **and a counter** (Van Eeden, Col. 4, Lines 21-29, The comparator 40 is interpreted as a counter.);

transmitting output signals from the random number generator to respective inputs of the counter for determining the waiting period (Van Eeden, Col. 4, Lines 30-39, The comparator determines when a counter value equals a random number in order to determine whether a signal burst is enabled or whether the transponder should continue to wait. Therefore, the comparator may be interpreted as a counter, since the comparator performs the same function.), **at least one of the**

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output signals from the random number generator being fed via logic circuitry to a respective input of the counter (Van Eeden, Col. 4, Lines 30-39); **and**

controlling the logic circuitry to adjust the maximum length of the waiting period (Van Eeden, Col. 4, Lines 50-67, The maximum value of N_{\max} is determined by a combination of signals from counter 44, counter 66, and the number of bits in the random number.).

Claim 3, Van Eeden further teaches:

The logic circuitry comprises one or more logic gates (Van Eeden, Fig. 2: 40, Col. 4, Lines 35-39, The comparator 40 receives up to three inputs, and generates a single output based on a comparison of the inputs. Thus, the comparator is a logic gate.), **the number of logic gates being less than the number of data lines** (Van Eeden, Fig. 2: 40, Col. 4, Lines 35-39, Each of the data inputs to the comparator 40 may be interpreted as a data line, thus there are less logic gates than data lines.).

Claim 4, Van Eeden further teaches:

The waiting period consists of a plurality of smaller time intervals or time slots together defining a Round Size representative of that waiting period (Van Eeden, Fig. 3: 22.1-22.4, Col. 4, Line 1, Each of the inter-transmission values have a length or Round Size, and as can be seen in the figure, there are a plurality of smaller time intervals defining a waiting period.).

Claim 5, Van Eeden further teaches:

There is a minimum Round Size and at least one larger Round Size (Van Eeden, Fig. 3: 22.1-22.4, Col. 4, Lines 40-49, The term round size is generally interpreted as the size of the minimum interval value.), **the or each larger Round Size consisting of a combination of minimum Round Sizes** (Van Eeden, Col. 4, Lines 40-67, Since the maximum inter-transmission interval or round size, N_{\max} , is increasing, and the N_{\max} is larger than the minimum inter-transmission interval, the maximum inter-transmission interval is a combination of the minimum inter-transmission interval. For example, if $N_{\max} = 15$ (like the example in Van Eeden), and the minimum is 1, then the N_{\max} is a combination or multiple of 15 minimum values.), **whereby controlling the logic circuitry determines the number of minimum Round Sizes to be combined to define the desired larger Round Size to be used** (Van Eeden, Col. 4, Lines 40-67).

Claim 6, Van Eeden further teaches:

By controlling the logic circuitry the maximum duration of the waiting period is increased or decreased by multiples of 2 or 0.5 respectively (Van Eeden, Col. 4, Lines 50-67).

Claim 8, Van Eeden further teaches:

The step of clocking the random number generator and/or clocking the counter by means of a clock oscillator of the transponder (Van Eeden, Col. 4, Lines 30-39, The term "clocking" the counter is resetting the counter by means of a clock in

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the transponder. It is noted that by resetting counter 38, the comparator 40 is effectively reset too since the comparator requires an input from counter 38 in order to make a comparison.).

Claim 9, Van Eeden further teaches:

Causing the transponder to transmit an output signal from its transmitter when the counter has been counted to its terminal count (Van Eeden, Col. 4, Lines 34-39), **whereupon the counter loads a fresh or new number from the random number generator for the next count** (Van Eeden, Col. 4, Lines 30-35).

Claim 10, Van Eeden further teaches:

Determining the maximum possible waiting time or maximum possible number of slots over which to randomize transmissions of the output signal from the transmitter by the length of the counter (Van Eeden, Col. 4, Lines 50-67), **the data lines between the random number generator and the counter being gated in order "fold" the counter such that the effective counter length may be modified in multiples of two** (Van Eeden, Col. 4, Lines 50-67, The preferred modification of the maximum value is by multiples of 2.).

Claim 11, Van Eeden further teaches:

Deriving the random number by taking a snapshot of the transponder clock, or by a hash value received from the interrogator in a command (Van

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Eeden, Col. 4, Lines 30-39, The counter 38 is incremented by each pulse of clock 48, thus a snapshot is taken. Thus, when the counter 38 equals the random number generated by random number generator 36, i.e. deriving the random number, the transponder transmits a pulse.).

Claim 12, Van Eeden further teaches:

Using either an up or a down counter whereby the terminal value of the counter is reached with the clocking signal causing the counter to either increment or decrement as required (Van Eeden, Col. 4, Lines 30-39).

Claim 13, Van Eeden further teaches:

The output response signal contains identity or field data of a tag or transponder (Van Eeden, Col. 3, Lines 54-60).

Claim 14, Van Eeden further teaches:

Transponders not already included in an active population under interrogation are arranged to enter said active population (Van Eeden, Col. 3, Lines 53-60, Tags being read are not in an active population and are arranged to enter the population.), **whereupon said transponders entering the active population receive a signal from the interrogator to adjust the maximum length of their waiting period** (Van Eeden, Col. 4, Lines 15-29 and Lines 40-49, Upon interrogation, the tags

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adjust its maximum inter-transmission interval. Thus, the energizing signal 16 causes the transponders to adjust the maximum length of their waiting periods.).

Claim 15, Van Eeden further teaches:

The adjusted maximum length of the waiting period of the transponders, after arriving into an already existing active population under interrogation, is chosen to ensure transmissions from said transponders occur at an appropriate stage in the arbitration to facilitate reading of those transponders entering the active population (Van Eeden, Figs. 3-4, Col. 3, Lines 66-66 through Col. 4, Lines 1-14, and Col. 4, Lines 40-49, The random inter-transmission intervals are created such that each transponder in the population responds to an interrogation signal at different times.).

Claim 17, Van Eeden teaches:

A transponder for generating an output signal during a waiting period (Van Eeden, Col. 3, Lines 61-65 and Col. 4, Lines 16-19), **comprising:**

means for deriving a random number within the transponder (Van Eeden, Fig. 2, Col. 4, Lines 21-39, The random inter-transmission interval generation means 34 includes multiple components connected by a circuit, or data lines, that create and define a random inter-transmission interval, which is a random number.); **and**

means for delivering the random number by way of binary output signals along a plurality of data lines to a counter (Van Eeden, Col. 4, Lines 30-39, The

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comparator determines when a counter value equals a random number in order to determine whether a signal burst is enabled or whether the transponder should continue to wait. Therefore, the comparator may be interpreted as a counter, since the comparator performs the same function.), **wherein at least one of the data lines is connected to the counter via logic circuitry** (Van Eeden, Col. 4, Lines 21-39, The random inter-transmission interval generation means is logic circuitry that permits data to be sent to the comparator.), **wherein control of the logic circuitry can block or permit the data line signal to the counter** (Van Eeden, Col. 4, Lines 21-39, The random inter-transmission interval generation means is logic circuitry that permits data to be sent to the comparator.) **thereby adjusting the total number of data line signals reaching the input of the counter to control the maximum length of the waiting period** (Van Eeden, Col. 4, Lines 50-67, The maximum value of N_{\max} is determined by a combination of signals from counter 44, counter 66, and the number of bits in the random number.).

Claim 18, Van Eeden teaches:

A transponder for generating an output signal during a waiting period (Van Eeden, Col. 3, Lines 61-65 and Col. 4, Lines 16-19), **the transponder comprising:**

a random number generator (Van Eeden, Fig. 2, Col. 4, Lines 21-39, The random inter-transmission interval generation means 34 includes multiple components connected by a circuit, or data lines, that create and define a random inter-transmission interval, which is a random number.) **the binary output signals from which are fed to**

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the inputs of a counter for determining the waiting period (Van Eeden, Col. 4, Lines 30-39, The comparator determines when a counter value equals a random number in order to determine whether a signal burst is enabled or whether the transponder should continue to wait. Therefore, the comparator may be interpreted as a counter, since the comparator performs the same function.), **wherein at least one of the output signals from the random number generator is fed via logic circuitry to a respective input of the counter** (Van Eeden, Col. 4, Lines 21-39, The random inter-transmission interval generation means is logic circuitry that permits data to be sent to the comparator.) **whereby control of the logic circuitry adjusts the maximum length of the waiting period** (Van Eeden, Col. 4, Lines 50-67, The maximum value of N_{\max} is determined by a combination of signals from counter 44, counter 66, and the number of bits in the random number.).

Claim 19, Van Eeden further teaches:

The logic circuitry comprises one or more logic gates (Van Eeden, Fig. 2: 40, Col. 4, Lines 35-39, The comparator 40 receives up to three inputs, and generates a single output based on a comparison of the inputs. Thus, the comparator is a logic gate.), **the number of logic gates being less than the number of data lines for the binary output signals** (Van Eeden, Fig. 2: 40, Col. 4, Lines 35-39, Each of the data inputs to the comparator 40 may be interpreted as a data line, thus there are less logic gates than data lines. Data being transferred includes bits (see Van Eeden, Col. 2, Lines 63-67 through Col. 3, Lines 1-4).).

Claim 20, Van Eeden further teaches:

The waiting period consists of a plurality of smaller time intervals or time slots together defining a Round Size representative of that waiting period (Van Eeden, Fig. 3: 22.1-22.4, Col. 4, Line 1, Each of the inter-transmission values have a length or Round Size, and as can be seen in the figure, there are a plurality of smaller time intervals defining a waiting period.).

Claim 21, Van Eeden further teaches:

There is a minimum Round Size and at least one larger Round Size (Van Eeden, Fig. 3: 22.1-22.4, Col. 4, Lines 40-49, The term round size is generally interpreted as the size of the minimum interval value.), **the or each larger Round Size consisting of a combination of minimum Round Sizes** (Van Eeden, Col. 4, Lines 40-67, Since the maximum inter-transmission interval or round size, N_{\max} , is increasing, and the N_{\max} is larger than the minimum inter-transmission interval, the maximum inter-transmission interval is a combination of the minimum inter-transmission interval. For example, if $N_{\max} = 15$ (like the example in Van Eeden), and the minimum is 1, then the N_{\max} is a combination or multiple of 15 minimum values.), **whereby controlling the logic circuitry determines the number of minimum Round Sizes to be combined to define the desired larger Round Size to be used** (Van Eeden, Col. 4, Lines 40-67).

Claim 22, Van Eeden further teaches:

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The logic circuitry is arranged to enable the maximum duration of the waiting period to be increased or decreased by multiples of 2 or 0.5 respectively (Van Eeden, Col. 4, Lines 50-67).

Claim 24, Van Eeden further teaches:

The transponder dynamically alters the maximum waiting time in response to an instruction from an interrogator (Van Eeden, Col. 4, Lines 40-67, The transponder performs the maximum value based upon the number of transmission bursts required, each of the transmission bursts are in response to an interrogation signal from the reader (see Van Eeden, Col. 3, Lines 53-60).).

Claim 25, Van Eeden further teaches:

The transponder is adapted to detect either heavy signal congestion or large quiet signal periods and alter the maximum waiting time accordingly (Van Eeden, Col. 4, Lines 40-67, The transponder can determine the maximum inter-transmission interval value based upon the number in the incremental counter. The larger the value of the counter, the larger possible inter-transmission intervals, thus the transponder can detect this larger possible value, i.e. large quiet signal periods, based upon the incremental counter, and continues to increase this value. It is noted that the examiner believes the applicant intends on claiming that the transponder can detect quiet periods between other transponders, but the claims allow for multiple interpretations.).

Claim 26, Van Eeden further teaches:

The transponder is adapted to alter the maximum waiting time in response to an instruction from the interrogator or in response to external conditions present during the interrogation (Van Eeden, Col. 4, Lines 40-67, The transponder performs the maximum value based upon the number of transmission bursts required, each of the transmission bursts are in response to an interrogation signal from the reader (see Van Eeden, Col. 3, Lines 53-60).).

Claim 27, Van Eeden teaches:

A transponder comprising:

a receiver for receiving an interrogation signal from an interrogator (Van Eeden, Col. 3, Lines 53-60, The transponder receives the signal in order to generate a response signal.);

a transmitter for transmitting a response signal after receipt of the interrogation signal (Van Eeden, Col. 3, Lines 53-60, The response signals are transmitted by a transponder transmitter (see Van Eeden, Fig. 2: 30, Col. 4, Lines 16-19).);

means for generating the response signal during a waiting period (Van Eeden, Col. 4, Lines 15-20); **and**

a random number generator (Van Eeden, Fig. 2, Col. 4, Lines 21-39, The random inter-transmission interval generation means 34 includes multiple components

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connected by a circuit, or data lines, that create and define a random inter-transmission interval, which is a random number.) **the binary output signals from which are fed to the inputs of a counter for determining the waiting period** (Van Eeden, Col. 4, Lines 30-39, The comparator determines when a counter value equals a random number in order to determine whether a signal burst is enabled or whether the transponder should continue to wait. Therefore, the comparator may be interpreted as a counter, since the comparator performs the same function.), **wherein at least one of the output signals from the random number generator is fed via logic circuitry to a respective input of the counter** (Van Eeden, Col. 4, Lines 21-39, The random inter-transmission interval generation means is logic circuitry that permits data to be sent to the comparator.), **wherein control of the logic circuitry adjusts the maximum length of the waiting period during interrogation by the interrogator** (Van Eeden, Col. 4, Lines 50-67, The maximum value of N_{\max} is determined by a combination of signals from counter 44, counter 66, and the number of bits in the random number.).

Claim 29, Van Eeden further teaches:

The random number is derived by taking a snapshot of the transponder clock, or by a hash value received from a command from an interrogator (Van Eeden, Col. 4, Lines 30-39, The counter 38 is incremented by each pulse of clock 48, thus a snapshot is taken. Thus, when the counter 38 equals the random number generated by random number generator 36, i.e. deriving the random number, the transponder transmits a pulse.).

Claim 30, Van Eeden further teaches:

The counter is an up or a down counter whereby the terminal value of the counter is reached with the clocking signal causing the counter to either increment or decrement as required (Van Eeden, Col. 4, Lines 30-39).

Claim 31, Van Eeden further teaches:

A memory for storing an identity or data field (Van Eeden, Fig. 2: 32, Col. 4, Lines 19-20) **and a modulator for transmitting the identity or data as a message in the output response signal** (Van Eeden, Col. 4, Lines 15-20, The transponder transmits its signal using a transmitter stage 30, which is interpreted as a modulator.).

Claim 32, Van Eeden teaches:

An identification system comprising an interrogator and a plurality of transponders (Van Eeden, Fig. 1, Col. 3, Lines 49-52), **the interrogator including a transmitter for transmitting an interrogation signal to the transponders** (Van Eeden, Col. 3, Lines 53-54, The reader has a transmitter in order to transmit the energizing signal 16.), **each transponder including a receiver for receiving the interrogation signal** (Van Eeden, Col. 3, Lines 53-60, Each transponder has a receiver for receiving the energizing signal 16.), **a transmitter for transmitting a response signal after receipt of the interrogation signal** (Van Eeden, Col. 3, Lines 54-60 and Col. 4, Lines 15-20) **and means for generating the response signal during a waiting**

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period (Van Eeden, Col. 4, Lines 15-20), **each transponder having means for altering the maximum length of the waiting period during interrogation of the transponders by the interrogator** (Van Eeden, Col. 4, Lines 40-67), **the transponder including a random number generator** (Van Eeden, Fig. 2, Col. 4, Lines 21-39, The random inter-transmission interval generation means 34 includes multiple components connected by a circuit, or data lines, that create and define a random inter-transmission interval, which is a random number.) **the binary output signals from which are fed to the inputs of a counter for determining the waiting period** (Van Eeden, Col. 4, Lines 30-39, The comparator determines when a counter value equals a random number in order to determine whether a signal burst is enabled or whether the transponder should continue to wait. Therefore, the comparator may be interpreted as a counter, since the comparator performs the same function.), **wherein at least one of the output signals from the random number generator is fed via logic circuitry to a respective input of the counter** (Van Eeden, Col. 4, Lines 21-39, The random inter-transmission interval generation means is logic circuitry that permits data to be sent to the comparator.) **whereby control of the logic circuitry adjusts the maximum length of the waiting period** (Van Eeden, Col. 4, Lines 50-67, The maximum value of N_{\max} is determined by a combination of signals from counter 44, counter 66, and the number of bits in the random number.).

Claim 33, Van Eeden teaches:

An identification system comprising an interrogator and a plurality of transponders (Van Eeden, Fig. 1, Col. 3, Lines 49-52), **the interrogator including a transmitter for transmitting an interrogation signal to the transponders** (Van Eeden, Col. 3, Lines 53-54, The reader has a transmitter in order to transmit the energizing signal 16.), **each transponder including a receiver for receiving the interrogation signal** (Van Eeden, Col. 3, Lines 53-60, Each transponder has a receiver for receiving the energizing signal 16.), **a transmitter for transmitting a response signal after receipt of the interrogation signal** (Van Eeden, Col. 3, Lines 54-60 and Col. 4, Lines 15-20) **and means for generating the response signal during a waiting period** (Van Eeden, Col. 4, Lines 15-20), **each transponder having means for altering the maximum length of the waiting period during interrogation of the transponders by the interrogator** (Van Eeden, Col. 4, Lines 40-67), **the transponders having means for deriving a random number within the transponder** (Van Eeden, Fig. 2, Col. 4, Lines 21-39, The random inter-transmission interval generation means 34 includes multiple components connected by a circuit, or data lines, that create and define a random inter-transmission interval, which is a random number.) **and delivering the random number by way of binary output signals along a plurality of data lines to a counter** (Van Eeden, Col. 4, Lines 30-39, The comparator determines when a counter value equals a random number in order to determine whether a signal burst is enabled or whether the transponder should continue to wait. Therefore, the comparator may be interpreted as a counter, since the comparator performs the same function.), **wherein at least one of the data lines is connected to**

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the counter via logic circuitry whereby control of the logic circuitry can block or permit the data line signal to the counter (Van Eeden, Col. 4, Lines 21-39, The random inter-transmission interval generation means is logic circuitry that permits data to be sent to the comparator.) **thereby adjusting the total number of data line signals reaching the input of the counter to control the maximum length of the waiting period** (Van Eeden, Col. 4, Lines 50-67, The maximum value of N_{\max} is determined by a combination of signals from counter 44, counter 66, and the number of bits in the random number.).

Claim 35, Van Eeden teaches:

An integrated circuit for use in a transponder of an RFID interrogation system (Van Eeden, Fig. 2), **the integrated circuit comprising:**

a receiver for receiving an interrogation signal (Van Eeden, Col. 3, Lines 53-60, Each transponder has a receiver for receiving the energizing signal 16.);

a transmitter for transmitting a response signal after receipt of the interrogation signal (Van Eeden, Col. 3, Lines 54-60 and Col. 4, Lines 15-20);

means for generating the response signal during a waiting period (Van Eeden, Col. 4, Lines 15-20) **and control means for altering the maximum length of the waiting period** (Van Eeden, Col. 4, Lines 40-67);

means for deriving a random number within the integrated circuit (Van Eeden, Fig. 2, Col. 4, Lines 21-39, The random inter-transmission interval generation means 34 includes multiple components connected by a circuit, or data lines, that

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create and define a random inter-transmission interval, which is a random number.) **and delivering the random number by way of binary output signals along a plurality of data lines to a counter** (Van Eeden, Col. 4, Lines 30-39, The comparator determines when a counter value equals a random number in order to determine whether a signal burst is enabled or whether the transponder should continue to wait. Therefore, the comparator may be interpreted as a counter, since the comparator performs the same function.) **and wherein at least one of the data lines is connected to the counter via logic circuitry control of which can block or permit the data line signal to the counter** (Van Eeden, Col. 4, Lines 21-39, The random inter-transmission interval generation means is logic circuitry that permits data to be sent to the comparator.) **thereby adjusting the total number of data line signals reaching the input of the counter to control the maximum length of the waiting period** (Van Eeden, Col. 4, Lines 50-67, The maximum value of N_{\max} is determined by a combination of signals from counter 44, counter 66, and the number of bits in the random number.).

Claim 36, Van Eeden teaches:

An integrated circuit for use in a transponder (Van Eeden, Fig. 2) **comprising:**

- a receiver for receiving an interrogation signal** (Van Eeden, Col. 3, Lines 53-60, Each transponder has a receiver for receiving the energizing signal 16.);
- a transmitter for transmitting a response signal after receipt of the interrogation signal** (Van Eeden, Col. 3, Lines 54-60 and Col. 4, Lines 15-20);

means for generating the response signal during a waiting period (Van Eeden, Col. 4, Lines 15-20);

control means for altering the maximum length of the waiting period during interrogation of the transponder by the interrogator (Van Eeden, Col. 4, Lines 40-67), **a random number generator** (Van Eeden, Fig. 2, Col. 4, Lines 21-39, The random inter-transmission interval generation means 34 includes multiple components connected by a circuit, or data lines, that create and define a random inter-transmission interval, which is a random number.) **the binary output signals from which are fed to the inputs of a counter for determining the waiting period** (Van Eeden, Col. 4, Lines 30-39, The comparator determines when a counter value equals a random number in order to determine whether a signal burst is enabled or whether the transponder should continue to wait. Therefore, the comparator may be interpreted as a counter, since the comparator performs the same function.);

a logic circuitry, wherein at least one of the output signals from the random number generator is fed via the logic circuitry to a respective input of the counter (Van Eeden, Col. 4, Lines 21-39, The random inter-transmission interval generation means is logic circuitry that permits data to be sent to the comparator.), **control of the logic circuitry thereby providing means for adjusting the maximum length of the waiting period** (Van Eeden, Col. 4, Lines 50-67, The maximum value of N_{\max} is determined by a combination of signals from counter 44, counter 66, and the number of bits in the random number.).

Claim 37, Van Eeden further teaches:

The logic circuitry comprises one or more logic gates (Van Eeden, Fig. 2: 40, Col. 4, Lines 35-39, The comparator 40 receives up to three inputs, and generates a single output based on a comparison of the inputs. Thus, the comparator is a logic gate.), **the number of logic gates being less than the number of data lines for the binary output signals to the counter** (Van Eeden, Fig. 2: 40, Col. 4, Lines 35-39, Each of the data inputs to the comparator 40 may be interpreted as a data line, thus there are less logic gates than data lines. Data being transferred includes bits (see Van Eeden, Col. 2, Lines 63-67 through Col. 3, Lines 1-4).).

Claim 38, Van Eeden further teaches:

The waiting period consists of a plurality of smaller time intervals or time slots together defining a Round Size representative of that waiting period (Van Eeden, Fig. 3: 22.1-22.4, Col. 4, Line 1, Each of the inter-transmission values have a length or Round Size, and as can be seen in the figure, there are a plurality of smaller time intervals defining a waiting period.).

Claim 39, Van Eeden further teaches:

There is a minimum Round Size and at least one larger Round Size (Van Eeden, Fig. 3: 22.1-22.4, Col. 4, Lines 40-49, The term round size is generally interpreted as the size of the minimum interval value.), **the or each larger Round Size consisting of a combination of minimum Round Sizes** (Van Eeden, Col. 4, Lines 40-

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67, Since the maximum inter-transmission interval or round size, N_{\max} , is increasing, and the N_{\max} is larger than the minimum inter-transmission interval, the maximum inter-transmission interval is a combination of the minimum inter-transmission interval. For example, if $N_{\max} = 15$ (like the example in Van Eeden), and the minimum is 1, then the N_{\max} is a combination or multiple of 15 minimum values.), **whereby controlling the logic circuitry determines the number of minimum Round Sizes to be combined to define the desired larger Round Size to be used** (Van Eeden, Col. 4, Lines 40-67).

Claim 40, Van Eeden further teaches:

The logic circuitry is arranged to enable the maximum duration of the waiting period to be increased or decreased by multiples of 2 or 0.5 respectively (Van Eeden, Col. 4, Lines 50-67).

Claim 42, Van Eeden further teaches:

The random number is derived by taking a snapshot of the transponder clock, or by a hash value received from a command from an interrogator (Van Eeden, Col. 4, Lines 30-39, The counter 38 is incremented by each pulse of clock 48, thus a snapshot is taken. Thus, when the counter 38 equals the random number generated by random number generator 36, i.e. deriving the random number, the transponder transmits a pulse.).

Claim 43, Van Eeden further teaches:

The counter is an up or a down counter whereby the terminal value of the counter is reached with the clocking signal causing the counter to either increment or decrement as required (Van Eeden, Col. 4, Lines 30-39).

Claim 44, Van Eeden further teaches:

A memory for storing an identity or data field (Van Eeden, Fig. 2: 32, Col. 4, Lines 19-20) **and a modulator for transmitting the data in the output response signal** (Van Eeden, Col. 4, Lines 15-20, The transponder transmits its signal using a transmitter stage 30, which is interpreted as a modulator.).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 7, 23, and 41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Van Eeden (U.S. 6,154,136).

Claim 7, Van Eeden further teaches:

By controlling the logic circuitry the desired Round Size is selected by increasing or decreasing the number of bits to be compared (Van Eeden, Col. 4,

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Lines 40-67, The value of N_{\max} is increased by a factor of 2 which is then raised to the power of N).

Van Eeden does not *explicitly* teach:

Increasing or decreasing the number of minimum Round Sizes to be combined, the different Round Sizes available being related to one another by factors or multiples of 2 or 0.5.

However, it would have been obvious to one of ordinary skill in the art at the time of the invention to choose a minimum value that is a whole number, because it is well-known in the art to use whole numbers to calculate binary numbers (see the example in Van Eeden, Col. 4, Lines 50-67), in order to establish a definitive range of inter-transmission intervals. Furthermore, it would have been obvious to one of ordinary skill in the art to choose the minimum Round Size value to be something small, such as 1, so that the range of numbers between the minimum Round Size value versus the maximum Round Size value would be the greatest, in order to increase the likelihood that different intervals be chosen. Thus, since the minimum Round Sizes to be combined defines the maximum Round Size, using a value such as 1 for the minimum Round Size causes the maximum Round Size to be a combination of the minimum round size by a multiple of 2 or 0.5. Since the claims only require the modifying of the minimum Round Sizes to be combined, instead of the minimum Round Size itself, the increasing of N_{\max} in Van Eeden establishes that the value of N_{\max} is greater than the minimum number.

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Claims 23 and 41, Van Eeden teaches:

The logic circuitry is arranged to enable the desired Round Size to be selected by increasing or decreasing the number of bits to be compared (Van Eeden, Col. 4, Lines 40-67, The value of N_{\max} is increased by a factor of 2 which is then raised to the power of N.).

Van Eeden does not *explicitly* teach:

Increasing or decreasing the number of minimum Round Sizes to be combined, the different Round Sizes available being related to one another by factors or multiples of 2 or 0.5.

However, it would have been obvious to one of ordinary skill in the art at the time of the invention to choose a minimum value that is a whole number, because it is well-known in the art to use whole numbers to calculate binary numbers (see the example in Van Eeden, Col. 4, Lines 50-67), in order to establish a definitive range of inter-transmission intervals. Furthermore, it would have been obvious to one of ordinary skill in the art to choose the minimum Round Size value to be something small, such as 1, so that the range of numbers between the minimum Round Size value versus the maximum Round Size value would be the greatest, in order to increase the likelihood that different intervals be chosen. Thus, since the minimum Round Sizes to be combined defines the maximum Round Size, using a value such as 1 for the minimum Round Size causes the maximum Round Size to be a combination of the minimum round size by a multiple of 2 or 0.5. Since the claims only require the modifying of the minimum Round Sizes to be combined, instead of the minimum Round Size itself, the

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increasing of N_{\max} in Van Eeden establishes that the value of N_{\max} is greater than the minimum number.

3. Claim 16 is rejected under 35 U.S.C. 103(a) as being unpatentable over Van Eeden (U.S. 6,154,136) in view of Ghaffari (U.S. 6,662,068).

Claim 16, Van Eeden teaches:

The transponders are associated with articles (Van Eeden, Col. 3, Lines 61-65).

Van Eeden does not teach:

The transponders are moving relative to the interrogator.

Ghaffari teaches:

The transponders are moving relative to the interrogator (Ghaffari, Col. 12, Lines 37-46).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the identification system in Van Eeden by integrating the teaching of moving articles as taught by Ghaffari.

The motivation would be to create an efficient tracking system of articles (see Ghaffari, Col. 12, Lines 37-46). It is also noted that it would have been obvious to modify the identification system in Van Eeden such that the system in the Van Eeden reference does not continuously read the same group of tags every time the interrogator is activated.

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4. Claim 45 is rejected under 35 U.S.C. 103(a) as being unpatentable over Van Eeden (U.S. 6,154,136) in view of Ertin et al. (U.S. 2004/0198222).

Claim 45, Van Eeden teaches:

A reader for identifying a plurality of transponders (Van Eeden, Fig. 1, Col. 3, Lines 49-52), **the reader comprising:**

a transmitter for transmitting a reader signal to the transponders (Van Eeden, Col. 3, Lines 53-54, The reader has a transmitter in order to transmit the energizing signal 16.);

a receiver for receiving response signals from each transponder (Van Eeden, Col. 3, Lines 61-65, The reader has a receiver in order to receive the response signals from the transponders.) **at a time within a respective waiting period** (Van Eeden, Col. 4, Lines 16-19, The random inter-transmission intervals are the waiting periods, because the transponder must wait for each random inter-transmission interval to expire before re-transmitting (see Van Eeden, Col. 4, Lines 33-39).) **the maximum duration of which can be adjusted** (Van Eeden, Col. 4, Lines 40-49); **and**

a processor for identifying a transponder from data in the response signal (Van Eeden, Col. 3, Lines 61-65, The reader has a device or component for identifying the transponders.),

wherein the reader comprises control means for controlling the reader signal (Van Eeden, Col. 3, Lines 53-60) **to control logic circuitry connected to a**

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counter in the transponder to adjust the maximum length of the waiting period

(Van Eeden, Col. 4, Lines 40-67).

Van Eeden does not teach:

The reader comprises detection means for detecting the number of collisions between response signals received at the receiver.

Ertin teaches:

The reader comprises detection means for detecting the number of collisions between response signals received at the receiver (Ertin, Paragraph [0037]).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the identification system in Van Eeden by integrating the teaching of detecting the number of collisions as taught by Ertin.

The motivation would be to ensure all tags in a given area are read successfully by repeating a reader signal in case a collision is detected (see Ertin, Paragraph [0041]).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JAMES YANG whose telephone number is (571)270-5170. The examiner can normally be reached on M-F 8:30-5 EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian Zimmerman can be reached on 571-272-3059. The fax phone

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number for the organization where this application or proceeding is assigned is 571-273-8300.

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/J.Y./

/Brian A Zimmerman/
Supervisory Patent Examiner, Art Unit 2612